



<https://www.fdcapital.co.uk/job/internal-audit-manager-deputy-smf5-wealth-management/>

Internal Audit Manager (Deputy SMF5) – Wealth Management

Description

Location: London (office-based)

Salary: £80,000 – £95,000 + bonus + benefits

Type: Permanent, full-time

The opportunity

FD Capital is working with a leading UK investment and wealth platform to appoint an Internal Audit Manager to its established Group Internal Audit function in London.

This is a Deputy SMF5 role, reporting to the Group Head of Internal Audit. You'll deputise for the SMF5 holder in their absence, which makes it a strong next step for an audit professional building towards a Head of Internal Audit appointment at a regulated firm.

Responsibilities

The role

You will lead a team of three auditors and own delivery of a significant part of the risk-based Internal Audit Plan. The role combines senior oversight with hands-on audit work. Key responsibilities include:

- Planning, scoping and delivering audits across the Group's platform, investment and operational activities
- Reviewing the team's work papers and findings, and signing off reports to a high standard
- Drafting Audit Committee papers and presenting findings to senior management
- Building trusted relationships with first- and second-line stakeholders, and challenging them where needed
- Tracking management actions through to closure and escalating slippage
- Developing the function's methodology and data analytics capability, and coaching the team on both
- Deputising for the Group Head of Internal Audit and supporting their SMF5 responsibilities

Qualifications

About you

You'll bring the following:

- Internal audit experience in UK financial services, ideally wealth management, investment platforms, asset management or insurance
- A solid working knowledge of the Global Internal Audit Standards and the

Hiring organization

FD Capital Recruitment

Employment Type

Full-time

Beginning of employment

1st December 2026

Duration of employment

Perm

Industry

Financial Services

Job Location

London, South East, United Kingdom

Working Hours

9-5

Base Salary

£ 80,000 - £ 90,000

Date posted

September 28, 2026

Valid through

31.10.2026

Internal Audit Code of Practice for financial services

- Understanding of the relevant regulatory landscape, such as CASS, MiFID II, Consumer Duty, operational resilience and the SM&CR
- Experience managing and developing a small audit team
- Data analytics skills, including advanced Excel (experience with audit analytics tools is a plus)
- A professional qualification such as CIA, ACA, ACCA or CIMA

Job Benefits

Why apply

- A visible deputy role with a clear route towards an SMF5 appointment
- Direct exposure to the Audit Committee and senior leadership
- Scope to shape the function's methodology and analytics
- Competitive package with bonus and professional development support

How to apply

FD Capital specialises in senior finance, audit and FCA-regulated appointments across the UK. Our applications are confidential.

Contacts

FD Capital are a leading recruiter for Wealth Managers